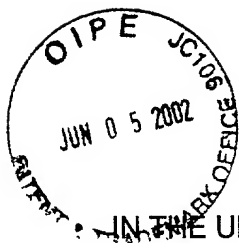


Docket No. Q096



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Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Adibi et al.

Serial No.: 10/050,513

Examiner: Unassigned

Filed: 01/16/2002

Group Art Unit: 2874

For: USE OF TAPERED DIELECTRIC SLAB  
WAVEGUIDES FOR INPUT AND  
OUTPUT COUPLING OF LIGHT INTO  
PHOTONIC CRYSTAL DEVICES

**RESPONSE TO NOTICE TO  
FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts dated February 12, 2002, Applicant hereby encloses the following:

- (1) A copy of the Notice to File Missing Parts;
- (2) Declaration and Power of Attorney signed by the inventor(s);
- (3) Four (4) sheets of substitute drawings in compliance with 37 CFR 1.84;
- (4) A check in the amount of \$265 for the surcharge (\$65) and two-month extension fee (\$200).

Applicant hereby requests a two-month extension of time to respond to the Notice to File Missing Parts mailed February 12, 2002, from April 12, 2002 to June 12, 2002. The Commissioner is hereby authorized to charge or credit Deposit Account No. 01-1960 for any additional fee required or overpayment.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on May 21, 2002.

By Nancy V. McElrath

Signature

Date

Respectfully submitted,

Daniel L. Dawes  
Registration No. 27,123  
MYERS, DAWES & ANDRAS LLP  
19900 MacArthur Blvd., Suite 1150  
Irvine, CA 92612  
Tel: (949) 223-9600  
Fax: (949) 223-9610

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/050.513	01/16/2002	Ali Adibi	Q096

CONFIRMATION NO. 7692

## FORMALITIES LETTER



\*OC000000007459726\*

Daniel L. Dawes  
Myers, Dawes & Andras LLP  
Ste. 1150  
19900 MacArthur Blvd.  
Irvine, CA 92612

Date Mailed: 02/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

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01 FC:205 66-00 57

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]